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8  
9 **UNITED STATES DISTRICT COURT**  
10 **DISTRICT OF NEVADA**

11 SECURITIES AND EXCHANGE  
COMMISSION,

12 Plaintiff,  
13 vs.

14 ARVCO CAPITAL RESEARCH,  
LLC, ARVCO FINANCIAL  
15 VENTURES, LLC, ALFRED J.R.  
VILLALOBOS, and FEDERICO  
16 ("FRED") R. BUENROSTRO,

17 Defendants.

Case No. 3:12-cv-00221-MMD-WGC

**NOTICE OF THE DEATH OF  
DEFENDANT ALFRED J.R.  
VILLALOBOS AND REQUEST  
FOR DISMISSAL OF CLAIMS;  
DECLARATION OF LYNN M.  
DEAN**

1 In accordance with Rule 25(a) of the Federal Rules of Civil Procedure, the  
 2 Securities and Exchange Commission (SEC”), plaintiff in this action, notes the death  
 3 during the pendency of this action of Alfred J.R. Villalobos, a defendant. The SEC has  
 4 been informed that Villalobos died on January 13, 2015. A copy of Villalobos’ Death  
 5 Certificate is attached as Exhibit 1 to the Declaration of Lynn M. Dean, filed herewith.

6 The SEC does not intend to file a motion to substitute Villalobos’s successor or  
 7 representative pursuant to Rule 25(a). Accordingly, the SEC requests that the Court  
 8 dismiss its claims as to Villalobos. The SEC’s claims against defendants ARVCO  
 9 Capital Research, LLC, ARVCO Financial Ventures, LLC, and Federico (“Fred”) R.  
 10 Buenrostro remain intact.

11  
 12  
 13 Dated: January 6, 2016

Respectfully submitted,


14  
 15 /s/ Lynn M. Dean

16 David J. Van Havermaat  
 17 Lynn M. Dean  
 Attorneys for Plaintiff  
 Securities and Exchange Commission

18 Plaintiff’s Motion to Dismiss Defendant Alfred J.R. Villalobos is granted.  
 19

20 IT IS SO ORDERED.

21  
 22 Dated: \_\_\_\_\_

23  
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 28   
 U.S. District Judge

**DECLARATION OF LYNN M. DEAN**

I, Lynn M. Dean, hereby declare, pursuant to 28 U.S.C. § 1746:

1. I am an attorney at law admitted to practice law in the State of California and before the United States District Court for the Central District of California. I am employed as an attorney in the Los Angeles Regional Office of the U.S. Securities and Exchange Commission ("Commission"), and am counsel of record for the Commission in this case. I have personal knowledge of the facts set forth in this Declaration and, if called and sworn as a witness, could and would competently testify thereto.

2. Attached hereto as Exhibit 1 is a true and correct copy of the Certificate of Death of Alfred J.R. Villalobos issued by the State of Nevada Certification of Vital Record on January 21, 2015.

I declare under penalty of perjury under the laws of the United States of America that the foregoing is true and correct. Executed this 6th day of January, 2016, at Los Angeles, California.

/s/ Lynn M. Dean  
Lynn M. Dean

# EXHIBIT 1



STATE OF NEVADA  
CERTIFICATION OF VITAL RECORDDEPARTMENT OF HEALTH AND HUMAN SERVICES  
DIVISION OF PUBLIC AND BEHAVIORAL HEALTH  
VITAL STATISTICS  
CERTIFICATE OF DEATH

CASE FILE NO. 3811929

2015000668

STATE FILE NUMBER

TYPE OR PRINT IN PERMANENT BLACK INK	1a. DECEASED-NAME (FIRST,MIDDLE,LAST,SUFFIX) <b>Alfred James Robles VILLALOBOS</b>		2. DATE OF DEATH (Mo/Day/Year) <b>January 13, 2015</b>		3a. COUNTY OF DEATH <b>Washoe</b>	
	3b. CITY, TOWN, OR LOCATION OF DEATH <b>Reno</b>		3c. HOSPITAL OR OTHER INSTITUTION -Name(If not either, give street and Inpatient)(Specify) <b>9425 Double R Blvd Shooting Range</b>		4. SEX <b>Male</b>	
DECEDENT	5. RACE White (Specify)		6. Hispanic Origin? Specify Yes - Mexican		7a. AGE-Last birthday (Years) <b>71</b>	
	7b. UNDER 1 YEAR <b>MOS</b>		7c. UNDER 1 DAY <b>HOURS</b>		8. DATE OF BIRTH (Mo/Day/Yr) <b>[REDACTED]</b>	
IF DEATH OCCURRED IN INSTITUTION SEE HANDBOOK REGARDING COMPLETION OF RESIDENCE ITEMS	9a. STATE OF BIRTH (If not U.S.A., California)		9b. CITIZEN OF WHAT COUNTRY United States		10. EDUCATION <b>16</b>	
	11. MARITAL STATUS (Specify) Divorced		12. SURVIVING SPOUSE'S NAME (Last name prior to first marriage)		13. SOCIAL SECURITY NUMBER	
PARENTS	14a. USUAL OCCUPATION (Give Kind of Work Done During Most of Ceo		14b. KIND OF BUSINESS OR INDUSTRY Investment Banking		Ever in US Armed Forces? No	
	15a. RESIDENCE - STATE Nevada		15b. COUNTY Douglas		15c. CITY, TOWN OR LOCATION Zephyr Cove	
DISPOSITION	15d. STREET AND NUMBER		15e. INSIDE CITY LIMITS (Specify Yes or No) No		16. FATHER/PARENT - NAME (First Middle Last Suffix) Ignacio Hernandez VILLALOBOS	
	17. MOTHER/PARENT - NAME (First Middle Last Suffix) Dolores ROBLES		18a. INFORMANT- NAME (Type or Print) AJ VILLALOBOS		18b. MAILING ADDRESS (Street or R.F.D. No, City or Town, State, Zip) California 96150	
TRADE CALL	19a. BURIAL, CREMATION, REMOVAL, OTHER (Specify) Cremation		19b. CEMETERY OR CREMATORY - NAME Masonic Memorial Gardens		19c. LOCATION City or Town State Reno Nevada 89503	
	20a. FUNERAL DIRECTOR - SIGNATURE (Or Person Acting as Such) KENNETH BOWMAN		20b. FUNERAL DIRECTOR LICENSE NUMBER 806		20c. NAME AND ADDRESS OF FACILITY Final Wishes 437 Stoker Avenue Reno NV 89503	
CERTIFIER	21a. To the best of my knowledge, death occurred at the time, date and place and due to the cause(s) stated.(Signature & Title)		22a. On the basis of examination and/or investigation, in my opinion death occurred at the time, date and place and due to the cause(s) stated. (Signature & Title) ELLEN G.I. CLARK M.D.		SIGNATURE AUTHENTICATED	
	21b. DATE SIGNED (Mo/Day/Yr)		21c. HOUR OF DEATH		22b. DATE SIGNED (Mo/Day/Yr) January 20, 2015	
REGISTRAR	21d. NAME OF ATTENDING PHYSICIAN IF OTHER THAN CERTIFIER (Type or Print)		22c. HOUR OF DEATH 15:31		22d. PRONOUNCED DEAD (Mo/Day/Yr) January 13, 2015	
	23a. NAME AND ADDRESS OF CERTIFIER (PHYSICIAN, ATTENDING PHYSICIAN, MEDICAL EXAMINER, OR CORONER) (Type or Print) Ellen G.I. Clark M.D. PO Box 11130 Reno, NV 89520		23b. LICENSE NUMBER 5850		24a. REGISTRAR (Signature) BRIDGES SANDI	
CAUSE OF DEATH	24b. DATE RECEIVED BY REGISTRAR (Mo/Day/Yr) January 21, 2015		24c. DEATH DUE TO COMMUNICABLE DISEASE YES <input type="checkbox"/> NO <input checked="" type="checkbox"/>		25. IMMEDIATE CAUSE (ENTER ONLY ONE CAUSE PER LINE FOR (a), (b), AND (c).)	
	25a. ACC., SUICIDE, HOM., UNDET. OR PENDING INVEST. (Specify) Suicide		25b. DATE OF INJURY (Mo/Day/Yr) January 13, 2015		25c. HOUR OF INJURY 1520	
CONDITIONS IF ANY WHICH GAVE RISE TO IMMEDIATE CAUSE STATING THE UNDERLYING CAUSE LAST	25d. DESCRIBE HOW INJURY OCCURRED Shot Self With Handgun		25e. INJURY AT WORK (Specify Yes or No) No		25f. PLACE OF INJURY- At home, farm, street, factory, office building, etc. (Specify) Indoor Shooting Range	
	25g. LOCATION STREET OR R.F.D. No. CITY OR TOWN STATE 9425 Double R Blvd., Suite C Reno Nevada		25h. AUTOPSY (Specify Yes or No) No		25i. WAS CASE REFERRED TO CORONER (Specify Yes or No) Yes	

STATE REGISTRAR

VRS-Rev-20120523a

609313

CERTIFIED COPY OF VITAL RECORDS

This is a true and exact reproduction of the document officially registered and placed on file in the office of the State Registrar and Vital Records.

DATE ISSUED:

DEC 30 2015

STATE REGISTRAR

Exhibit 1 Page 1

This copy is not valid unless prepared on engraved border displaying date, seal and signature of Registrar.

ANY ALTERATION OR ERASURE VOIDS THIS CERTIFICATE



**PROOF OF SERVICE**

I am over the age of 18 years and not a party to this action. My business address is:

U.S. SECURITIES AND EXCHANGE COMMISSION,  
444 S. Flower Street, Suite 900, Los Angeles, California 90071  
Telephone No. (323) 965-3998; Facsimile No. (213) 443-1904.

On January 6, 2016, I caused to be served the document entitled **NOTICE OF THE DEATH OF DEFENDANT ALFRED J.R. VILLALOBOS AND REQUEST FOR DISMISSAL OF CLAIMS; DECLARATION OF LYNN M. DEAN** on all the parties to this action addressed as stated on the attached service list:

☐ **OFFICE MAIL:** By placing in sealed envelope(s), which I placed for collection and mailing today following ordinary business practices. I am readily familiar with this agency's practice for collection and processing of correspondence for mailing; such correspondence would be deposited with the U.S. Postal Service on the same day in the ordinary course of business.

☐ **PERSONAL DEPOSIT IN MAIL:** By placing in sealed envelope(s), which I personally deposited with the U.S. Postal Service. Each such envelope was deposited with the U.S. Postal Service at Los Angeles, California, with first class postage thereon fully prepaid.

☐ **EXPRESS U.S. MAIL:** Each such envelope was deposited in a facility regularly maintained at the U.S. Postal Service for receipt of Express Mail at Los Angeles, California, with Express Mail postage paid.

☐ **HAND DELIVERY:** I caused to be hand delivered each such envelope to the office of the addressee as stated on the attached service list.

☒ **UNITED PARCEL SERVICE:** By placing in sealed envelope(s) designated by United Parcel Service ("UPS") with delivery fees paid or provided for, which I deposited in a facility regularly maintained by UPS or delivered to a UPS courier, at Los Angeles, California.

☐ **ELECTRONIC MAIL:** By transmitting the document by electronic mail to the electronic mail address as stated on the attached service list.

☒ **E-FILING:** By causing the document to be electronically filed via the Court's CM/ECF system, which effects electronic service on counsel who are registered with the CM/ECF system.

☐ **FAX:** By transmitting the document by facsimile transmission. The transmission was reported as complete and without error.

I declare under penalty of perjury that the foregoing is true and correct.

Date: January 6, 2016

/s/ Lynn M. Dean

Lynn M. Dean

**SEC v. ARVCO CAPITAL, et al.**  
**United States District Court - District of Nevada**  
**Case No. 3:12-cv-00221-MMD-WGC**

**SERVICE LIST**

Federico Buenrostro (**served via UPS**)  
6120 Wycliffe Way  
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***Pro Se Defendant***

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Los Angeles, CA 90064  
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***Attorneys for Defendants Alfred J.R. Villalobos, ARVCO Financial Ventures, LLC, and ARVCO Capital Research, LLC***